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51640 7590 08/17/2006

SPINE MP
LERNER, DAVID, et al.
600 SOUTH AVENUE WEST
WESTFIELD, NJ 07090

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(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/642,528

08/15/2003

Joseph P. Errico

SPINE 3.0-437 PPPPP CONXIV 1167

TITLE OF INVENTION: AXIALLY COMPRESSIBLE ARTIFICIAL INTERVERTEBRAL DISC HAVING LIMITED ROTATION USING A CAPTURED BALL AND SOCKET JOINT WITH A SOLID BALL AND COMPRESSION LOCKING POST

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	11/17/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
PELLEGRINO, BRIAN E	3738	623-017110

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 LERNER, DAVID, LITTENBERG,

2 KRUMHOLZ & MENTLIK, LLP

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

SpineCore, Inc.

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Summit, New Jersey

01 FC:1501

1400.00 DA

02 FC:1504

300.00 DA

03 FC:8001

42.00 DA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Arnold H. Krumholz

Date November 3, 2006

Registration No. 25,428

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FACSIMILE TRANSMISSION

ISSUE FEE TRANSMITTAL AND
PUBLICATION FEE

ATTORNEY DOCKET NO.: SPINE 3.0-437 PPPPP CONT XIV

APPLICATION NO.: 10/642,528

CONFIRMATION NO.: 3167

MAILING DATE OF NOTICE OF ALLOWANCE: August 17, 2006

FAX NUMBER: (571) 273-2885

PAGES INCLUDING COVER SHEET: 2

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CERTIFICATE OF TRANSMISSION UNDER 37 CFR 1.8

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Arnold H. Krumholz; Reg. No. 25,428

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